

**CFTC COOPERATIVE ENFORCEMENT
CONFERENCE
Washington Marriott Hotel
Washington, D.C.
September 30, 2009**

8:00 - 9:00 **Continental Breakfast**

9:00 - 9:30 **Opening Remarks**

The Honorable Gary Gensler, Chairman, CFTC

Stephen Obie, Acting Director, Division of Enforcement, CFTC

9:30 - 10:45 **Ponzi and other Financial Fraud Schemes: Madoff, Stanford, and Commodity Pool/Hedge Fund scams**

Stephen Obie, Acting Director, Division of Enforcement, CFTC

Robert Khuzami, Director, Division of Enforcement SEC

Paul Pelletier, Principal Deputy Chief, Fraud Section, Criminal Division, DOJ

United States Attorney Office, SDNY

10:45 - 11:00 **Refreshment Break**

11:00 - 12:15 **Market Manipulation: Excessive Speculation, Improper Valuation, Flash Trading, and other Emerging Issues**

Joan Manley, Deputy Director, Division of Enforcement, CFTC

Rafael Martinez, Senior Economist, Division of Market Oversight, CFTC

Stephen Humenik, Senior Trial Attorney, Division of Enforcement, CFTC

Robertson Park, Assistant Chief, Fraud Section, Criminal Division, DOJ

12:15 - 1:30

Buffet Lunch

Guest Speaker
The Honorable Lanny Breuer
Assistant Attorney General
Criminal Division
U.S. Department of Justice

1:30 - 2:15

Parallel Criminal and Civil Proceedings

Vince McGonagle, Senior Deputy Director, Division of Enforcement,
CFTC

John Arterberry, Executive Deputy Chief, Fraud Section, Criminal
Division, DOJ

David Nanz, Chief, Economic Crimes Unit, FBI

United States Attorney's Office, SDNY

2:15 - 3:15

**International Cooperation: Coordination with Foreign Financial
Regulators and Markets**

Phyllis Cela, Chief Counsel, Division of Enforcement, CFTC

Alberto Arevalo, Assistant Director for Enforcement Matters, Office of
International Affairs, SEC

Stewart Robinson, Chief, Office of International Affairs, DOJ

Jay Lerner, Assistant Chief, Fraud Section, Criminal Division, DOJ

Christophe Caillot, Enforcement Counsel, Autorite des Marches
Financiers

3:15 - 3:30

Refreshment Break

3:30 - 4:45

**Emerging Trends and Regulatory Developments: OTC Derivatives,
Cap and Trade, Speculation Limits, and Regulatory Reform of the
Financial Industry**

Dan Berkovitz, General Counsel, CFTC

Office of General Counsel, SEC

Kathryn Ruemmler, Principal Assistant Deputy Attorney General, DOJ

5:00-7:00

Reception